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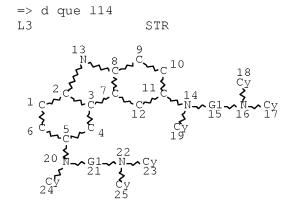
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VAR G1=AK/CY NODE ATTRIBUTES: DEFAULT MLEVEL IS ATOM DEFAULT ECLEVEL IS LIMITED

GRAPH ATTRIBUTES: RSPEC I NUMBER OF NODES IS 25

STEREO ATTRIBUTES: NONE

L5 6 SEA FILE=REGISTRY SSS FUL L3

L14 6 SEA FILE=HCAPLUS SPE=ON ABB=ON PLU=ON L5

=> fil hcap FILE 'HCAPLUS' ENTERED AT 14:09:25 ON 22 JUL 2010

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FILE COVERS 1907 - 22 Jul 2010 VOL 153 ISS 4

FILE LAST UPDATED: 21 Jul 2010 (20100721/ED)

REVISED CLASS FIELDS (/NCL) LAST RELOADED: Apr 2010

USPTO MANUAL OF CLASSIFICATIONS THESAURUS ISSUE DATE: Apr 2010

HCAplus now includes complete International Patent Classification (IPC) reclassification data for the second quarter of 2010.

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=> d l14 1-6 ibib ed abs hitstr hitind

L14 ANSWER 1 OF 6 HCAPLUS COPYRIGHT 2010 ACS on STN ACCESSION NUMBER: 2008:1282001 HCAPLUS Full-text

DOCUMENT NUMBER: 149:494318

TITLE: Sulfonated polymeric compound, its intermediate,

and organic electroluminescent device containing

the compound

INVENTOR(S): Sekiguchi, Michiru; Togashi, Kazuhiko

PATENT ASSIGNEE(S): Mitsui Chemicals, Inc., Japan

SOURCE: PCT Int. Appl., 165pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.	KIND DATE	APPLICATION NO.	DATE
WO 2008126393	A1 2008	1023 WO 2008-JP861	20080403
W: AE, AG, AL	AM, AO, AT,	AU, AZ, BA, BB, BG, BH,	BR, BW, BY,
BZ, CA, CH	CN, CO, CR,	CU, CZ, DE, DK, DM, DO,	DZ, EC, EE,
EG, ES, FI	GB, GD, GE,	GH, GM, GT, HN, HR, HU,	ID, IL, IN,
IS, JP, KE	KG, KM, KN,	KP, KR, KZ, LA, LC, LK,	LR, LS, LT,
LU, LY, MA	MD, ME, MG,	MK, MN, MW, MX, MY, MZ,	NA, NG, NI,
NO, NZ, OM	PG, PH, PL,	PT, RO, RS, RU, SC, SD,	SE, SG, SK,
SL, SM, SV	SY, TJ, TM,	TN, TR, TT, TZ, UA, UG,	US, UZ, VC,
VN, ZA, ZM	. ZW		
RW: AT, BE, BG	CH, CY, CZ,	DE, DK, EE, ES, FI, FR,	GB, GR, HR,
HU, IE, IS	IT, LT, LU,	LV, MC, MT, NL, NO, PL,	PT, RO, SE,

SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM PRIORITY APPLN. INFO.:

JP 2007-98103

A 20070404

ED Entered STN: 24 Oct 2008

GΙ

$$\begin{array}{c} \text{Ar1[T1Ar2]}_{\text{NX1X2}} \\ \text{N-Y-N} \\ \text{Ar3[T2Ar4]}_{\text{NX3X4}} \\ \end{array}$$

AΒ A sulfonated polymeric compound, and its intermediate, which sulfonated polymeric compound is characterized by having the structure resulting from introduction of a sulfo group in a polymeric compound having, in its polymer chain, ≥ 1 of the repeating units (I) (wherein each of Z1 to Z4 is a substituent; each of p1 and p2 is an integer of 0 to 5; each of p3 and p4 is an integer of 0 to 4; each of X1 to X4 is a monovalent aromatic group, provided that X1 and X2, and X3 and X4, may be bonded with each other to thereby form a ring; Y is a bivalent aromatic group; each of Ar1 to Ar4 independently is a bivalent aromatic group, provided that the bivalent aromatic group may be an aromatic group resulting from bonding of aromatic groups to each other leading to cyclization; each of T1 and T2 independently is a single bond or a group selected from the group consisting of -(CH2)t-, -CH=CH-, -C=C-, -O-, -S-, -CQ1Q2-, -CO-, -SO-, -SO2- and -SiE2-; t is an integer of 1 to 20; each of Q1 and Q2 is an alkyl or an aromatic group, provided that these may be bonded with each other to thereby form a ring; E is a hydrogen atom, an alkyl or an aromatic group; and each of m and n is an integer of 0 to 2).

IT 1072155-70-4DP, sulfonated compound

(manufacture of solvent-soluble sulfonated polymeric compds. and their intermediates useful for organic electroluminescent devices)

RN 1072155-70-4 HCAPLUS

CN Poly[[9-(9,9-dimethyl-9H-fluoren-2-yl)-9H-carbazole-3,6-diyl][[4-(diphenylamino)phenyl]imino]-1,4-phenylene(3,4-diphenyl-2,5-thiophenediyl)-1,4-phenylene[[4-(diphenylamino)phenyl]imino]] (CA INDEX NAME)

```
(manuf. of solvent-sol. sulfonated polymeric compds. and their
        intermediates useful for org. electroluminescent devices
IPCI C08G0073-02 [I,A]; C08G0073-00 [I,C*]; C09K0011-06 [I,A]; H01L0051-50
IPCR C08G0073-00 [I,C]; C08G0073-02 [I,A]; C09K0011-06 [I,C]; C09K0011-06
     [I,A]; H01L0051-50 [I,C]; H01L0051-50 [I,A]
CC
     37-3 (Plastics Manufacture and Processing)
     Section cross-reference(s): 76
                                           1072154-78-9DP, sulfonated compound
ΙT
     1072154-77-8DP, sulfonated compound
     1072154-79-0DP, sulfonated compound
                                           1072154-80-3DP, sulfonated compound
     1072154-92-7P
                    1072154-95-0DP, sulfonated compound
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     sulfonated compound
     1072155-03-3DP, sulfonated compound
                                           1072155-04-4DP, sulfonated compound
     1072155-12-4DP, sulfonated compound
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     1072156-71-8DP, sulfonated compound
                                           1072156-73-0DP, sulfonated compound
     1072156-74-1DP, sulfonated compound
        (manufacture of solvent-soluble sulfonated polymeric compds. and their
        intermediates useful for organic electroluminescent devices)
     1072154-77-8P
                     1072154-78-9P
                                     1072154-79-0P
                                                     1072154-80-3P
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                     1072154-83-6P
                                     1072154-85-8P
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                                     1072154-91-6P
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1072155-64-6P 1072155-66-8P
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1072155~70~4P 1072155-72-6P 1072155-73-7P 1072155-75-9P
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1072156-54-7P 1072156-56-9P 1072156-57-0P 1072156-59-2P
1072156-60-5P 1072156-63-8P 1072156-65-0P 1072156-67-2P
1072156-68-3P 1072156-70-7P 1072156-71-8P 1072156-73-0P
             1072156-76-3P 1072156-77-4P 1072156-79-6P
1072156-74-1P
1072156-80-9P
```

(manufacture of solvent-soluble sulfonated polymeric compds. and their intermediates useful for organic electroluminescent devices)

REFERENCE COUNT:

THERE ARE 3 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L14 ANSWER 2 OF 6 HCAPLUS COPYRIGHT 2010 ACS on STN ACCESSION NUMBER: 2007:1237378 HCAPLUS <u>Full-text</u>

3

DOCUMENT NUMBER: 147:494224

TITLE: Carbazole derivatives, their uses, and organic

electroluminescent devices using them

INVENTOR(S):

Nakayama, Masami; Kato, Hideyuki Bando Chemical Industries, Ltd., Japan PATENT ASSIGNEE(S):

SOURCE: Jpn. Kokai Tokkyo Koho, 16pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
JP 2007284411	A	20071101	JP 2006-116940	20060420
PRIORITY APPLN. INFO.:			JP 2006-116940	20060420

OTHER SOURCE(S): MARPAT 147:494224

ED Entered STN: 01 Nov 2007

GΙ

AB Title derivs. I [A = H, halo, C1-20 alkyl, C1-20 alkoxy, (un)substituted aryl, (un)substituted heterocyclyl; R1-R6 = H, C1-20 alkyl, C1-20 alkoxy, di(C1-20 alkyl)amino, (un)substituted aryl, (un)substituted heterocyclyl] are used as hole injecting agents and/or hole transport agents. Also claimed are organic electroluminescent devices having a hole injection layer and/or hole transport layer containing above agents.

IT 884510-65-0P 953812-97-0P

(preparation of bis[phenyl(diphenylaminophenyl)amino]carbazoles and organic electroluminescent devices having hole injection layer and/or hole transport layer containing them)

RN 884510-65-0 HCAPLUS

CN 9H-Carbazole-3,6-diamine, N3,N6-bis[4-(diphenylamino)phenyl]-N3,N6,9-triphenyl- (CA INDEX NAME)

RN 953812-97-0 HCAPLUS

CN 9H-Carbazole-3,6-diamine, N3,N6-bis[4-(diphenylamino)phenyl]-9-ethyl-N3,N6-diphenyl- (CA INDEX NAME)

IPCI C07D0209-88 [I,A]; C07D0209-00 [I,C*]; H01L0051-50 [I,A]; C09K0011-06
[I,A]

IPCR C07D0209-00 [I,C]; C07D0209-88 [I,A]; C09K0011-06 [I,C]; C09K0011-06
[I,A]; H01L0051-50 [I,C]; H01L0051-50 [I,A]

CC 74-13 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)
Section cross-reference(s): 27

IT 884510-65-0P 953812-97-0P

(preparation of bis[phenyl(diphenylaminophenyl)amino]carbazoles and organic electroluminescent devices having hole injection layer and/or hole transport layer containing them)

L14 ANSWER 3 OF 6 HCAPLUS COPYRIGHT 2010 ACS on STN ACCESSION NUMBER: 2007:175254 HCAPLUS Full-text

DOCUMENT NUMBER: 146:238974

TITLE: Arylamine compounds which have resistance to repeated oxidation reactions, and light-emitting

elements and electronic devices employing the

arylamine compounds

INVENTOR(S): Nakashima, Harue; Kawakami, Sachiko

PATENT ASSIGNEE(S): Semiconductor Energy Laboratory Co., Japan

SOURCE: U.S. Pat. Appl. Publ., 48pp.

CODEN: USXXCO

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PA'	TENT 1	NO.			KIN	D			APPLICATION NO.						D.	ATE
	2007						2007	0215			2006-l				_	0060808 0060727
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		CH,	CN,	CO,	CR,	CU,	CZ,	DE,	DK,	DM,	DZ,	EC,	EE,	EG,	ES,	FI,
		GB,	GD,	GE,	GH,	GM,	HN,	HR,	HU,	ID,	IL,	IN,	IS,	KE,	KG,	KM,
		KN,	KP,	KR,	KΖ,	LA,	LC,	LK,	LR,	LS,	LT,	LU,	LV,	LY,	MA,	MD,
		MG,	MK,	MN,	MW,	MX,	MZ,	NΑ,	NG,	NΙ,	NO,	NΖ,	OM,	PG,	PH,	PL,
		PT,	RO,	RS,	RU,	SC,	SD,	SE,	SG,	SK,	SL,	SM,	SY,	ΤJ,	TM,	TN,
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JP	2007	0703.	52		Α		2007	0322		JP 2	2006-2	2177	79		2	0060810
CN	1012	4303	8		Α		2008	0813		CN 2	2006-	8002	9357		2	0080213
KR	2008	0341	91		А		2008	0418		KR 2	2008-	7053	76		2	0080304
PRIORIT	Y APP	LN.	INFO	.:						JP 2	2005-	2344	32	ì	A 2	0050812
										WO 2	2006-	JP31	5351	Ī	w 2	0060727

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OTHER SOURCE(S): MARPAT 146:238974

ED Entered STN: 16 Feb 2007

AB Secondary arylamine compds. having resistance to repeated oxidation reactions are described by the General Formula NH(Ar1)XN(Ar2)Ar3, wherein Ar1 is one of an aryl group having 7 to 25 C atoms and a heteroaryl group having 7 to 25 C atoms, where each of Ar2 and Ar3 is one of an aryl group having 6 to 25 C atoms and a heteroaryl group having 5 to 9 C atoms, and where X is one of a bivalent aromatic hydrocarbon group having 6 to 25 C atoms and a bivalent heterocyclic group having 5 to 10 C atoms. Light-emitting elements and electronic devices employing the arylamine compds. are also discussed.

IT 884510-67-2P

(arylamine compds. which have resistance to repeated oxidation reactions, and light-emitting elements and electronic devices employing arylamine compds.)

RN 884510-67-2 HCAPLUS

CN 9H-Carbazole-3,6-diamine, N3,N6-bis[4-(diphenylamino)phenyl]-N3,N6-di-1-naphthalenyl-9-phenyl- (CA INDEX NAME)

INCL 428690000; 428917000; 313504000; 257-E51.049; 257-E51.051; 548440000; 548442000; 564429000; 564434000

IPCI H01L0051-54 [I,A]; H01L0051-50 [I,C*]; H05B0033-14 [I,A]; C07C0211-00
[I,A]; C07D0209-88 [I,A]; C07D0209-00 [I,C*]

IPCR H01L0051-50 [I,C]; H01L0051-54 [I,A]; C07C0211-00 [I,C]; C07C0211-00
[I,A]; C07D0209-00 [I,C]; C07D0209-88 [I,A]; H05B0033-14 [I,C];
H05B0033-14 [I,A]

NCL 428/690.000; 257/E51.049; 257/E51.051; 313/504.000; 428/917.000; 548/440.000; 548/442.000; 564/429.000; 564/434.000

CC 73-11 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)

Section cross-reference(s): 25, 74, 76

IT 884510-66-1P **884510-67-2P**

(arylamine compds. which have resistance to repeated oxidation reactions, and light-emitting elements and electronic devices employing arylamine compds.)

OS.CITING REF COUNT: 1 THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD (1 CITINGS)

L14 ANSWER 4 OF 6 HCAPLUS COPYRIGHT 2010 ACS on STN ACCESSION NUMBER: 2006:542713 HCAPLUS Full-text

DOCUMENT NUMBER: 145:17408

TITLE: Light emitting element that includes a mixed carbazole derivative-transition metal oxide hole

transport layer

INVENTOR(S): Nakashima, Harue; Kawakami, Sachiko; Kumaki,

Daisuke; Seo, Satoshi; Ikeda, Hisao; Sakata,

Junichiro; Iwaki, Yuji

PATENT ASSIGNEE(S): Semiconductor Energy Laboratory Co., Ltd., Japan

SOURCE: PCT Int. Appl., 145 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND				DATE APPLICATION						NO.	O. DATE					
						_									_	
WO 2	006	0597	45		A1		2006	0608	,	WO 2	005-	JP22	240		2	0051128
Ī	W:	ΑE,	ΑG,	AL,	AM,	ΑT,	ΑU,	ΑZ,	BA,	BB,	BG,	BR,	BW,	BY,	BZ,	CA,
		CH,	CN,	CO,	CR,	CU,	CZ,	DE,	DK,	DM,	DZ,	EC,	EE,	EG,	ES,	FI,
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	RW:	AT,	,	,	,	,	,	,	,	,	,		FR.	GB.	GR.	HU.
		•	•	•	•		•	MC,	•	•	•	•	•	•	•	•
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		ZW,	AM,	AZ,	BY,	KG,	KΖ,	MD,	RU,	ΤJ,	TM	·	ĺ	·	·	•
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CN	1005	5300	8		С		2009	1021								
JP	2006	3034	21		Α		2006	1102	Į,	JP 2	005-	3457	45		2	0051130
US	2009	0058	267		A1		2009	0305	1	JS 2	006-	5843	8 0		2	0060623
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									· ·	JP 2	005-	8456	6	Ž	A 2	0050323
									Ţ	WO 2	005-	JP22:	240	Ţ	W 2	0051128

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT

OTHER SOURCE(S): MARPAT 145:17408

ED Entered STN: 09 Jun 2006

GΙ

One object of the present invention is to provide a light emitting element AΒ that includes an organic compound and an inorg, compound and has low driving voltage. The light emitting element of the invention includes a plurality of layers between a pair of electrodes, wherein the plurality of layers includes a layer that contains a carbazole derivative represented by a general formula (I; R1 = e.g., H, alkyl, aryl; R2 = H, alkyl, NAr4YNAr5Ar6; Ar1-Ar6 = aryl, heteroaryl; X, Y = bivalent aromatic hydrocarbon or bivalent heterocycle) and an inorg. compound exhibiting an electron accepting property with respect to the carbazole derivative By utilizing this structure, electrons are transported between the carbazole derivative and the inorg. compound and carriers are internally generated, and hence, the driving voltage of the light emitting element can be reduced. Thus, e.g., coupling of 3,6-diiodo-9phenylcarbazole (preparation given) with PhNHC6H4-p-NPh2 (preparation given) afforded target carbazole II (75% yield). A 50 nm film containing II and molybdenum oxide (1:1.5 molar ratio) exhibited a charge-transfer absorption band (absent in either component of the film taken individually) representing

hole generation in II and electron acceptance by molybdenum oxide; consequently, the driving voltage of a light-emitting element can be reduced because of this internal carrier generation.

IT 884510-65-0P 884510-67-2P

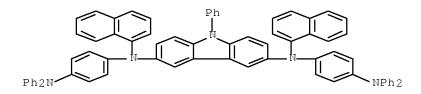
(light emitting element that includes a mixed carbazole derivative-transition metal oxide hole transport layer)

RN 884510-65-0 HCAPLUS

CN 9H-Carbazole-3,6-diamine, N3,N6-bis[4-(diphenylamino)phenyl]-N3,N6,9-triphenyl- (CA INDEX NAME)

RN 884510-67-2 HCAPLUS

CN 9H-Carbazole-3,6-diamine, N3,N6-bis[4-(diphenylamino)phenyl]-N3,N6-di-1-naphthalenyl-9-phenyl- (CA INDEX NAME)



IPCI H01L0051-50 [I,A]; C09K0011-06 [I,A]

IPCR H01L0051-50 [I,A]; C09K0011-06 [I,C]; C09K0011-06 [I,A]; H01L0051-50
[I,C]

CC 73-5 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)

IT 884510-64-9P 884510-65-0P 884510-66-1P 884510-67-2P

(light emitting element that includes a mixed carbazole derivative-transition metal oxide hole transport layer)

OS.CITING REF COUNT: 1 THERE ARE 1 CAPLUS RECORDS THAT CITE THIS

RECORD (2 CITINGS)

REFERENCE COUNT: 11 THERE ARE 11 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE

THIS RECORD. AND CITATIONS AVAIDABLE IN TH

RE FORMAT

L14 ANSWER 5 OF 6 HCAPLUS COPYRIGHT 2010 ACS on STN ACCESSION NUMBER: 2006:380901 HCAPLUS Full-text DOCUMENT NUMBER: 144:422228

TITLE: Carbazole derivative, and light emitting element

and light emitting device using the carbazole

derivative

INVENTOR(S): Nakashima, Harue; Kawakami, Sachiko; Kumaki,

Daisuke

PATENT ASSIGNEE(S): Semiconductor Energy Laboratory Co., Ltd., Japan

SOURCE: PCT Int. Appl., 142 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

						KIND DATE			APPLICATION NO.								
																	20051014
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		KP,	KR,	KΖ,	LC,	LK,	LR,	LS,	LT,	LU	J,	LV,	LY,	MA,	MD,	MG,	MK,
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										WO	20	05-	JP19.	349		W 2	20051014

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT

OTHER SOURCE(S): MARPAT 144:422228

ED Entered STN: 27 Apr 2006

GI

AB The title carbazole derivs. are described by the general formula I (R1 = H, C1-6 alkyl, C6-25 aryl, C5-9 heteroaryl, arylalkyl, or C1-7 acyl; R2 = H, C1-6 alkyl, or -N(Ar4)-Y-N(Ar5)Ar6; Ar1-6 = independently selected C6-25 aryl and/or C5-9 heteroaryl; and X and Y = independently selected C6-25 bivalent aromatic hydrocarbon and/or C5-10 bivalent heterocyclic group). Lightemitting elements incorporating the derivs., devices (e.g., displays) incorporating the elements, and electronic apparatus employing the elements, are also described.

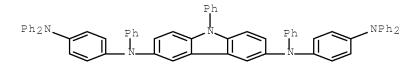
IT 884510-65-0P

(carbazole derivative, and light emitting element and light emitting

device using carbazole derivative)

RN 884510-65-0 HCAPLUS

CN 9H-Carbazole-3,6-diamine, N3,N6-bis[4-(diphenylamino)phenyl]-N3,N6,9-triphenyl- (CA INDEX NAME)

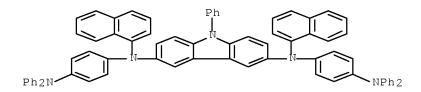


IT 884510-67-2P

(carbazole derivative, and light emitting element and light emitting device using carbazole derivative)

RN 884510-67-2 HCAPLUS

CN 9H-Carbazole-3,6-diamine, N3,N6-bis[4-(diphenylamino)phenyl]-N3,N6-di-1-naphthalenyl-9-phenyl- (CA INDEX NAME)



IPCI C07D0209-88 [I,A]; C07D0209-00 [I,C*]; C09K0011-06 [I,A]; H01L0051-50
 [I,A]

IPCR C07D0209-00 [I,C]; C07D0209-88 [I,A]; C09K0011-06 [I,C]; C09K0011-06
[I,A]; H01L0051-50 [I,C]; H01L0051-50 [I,A]

CC 73-11 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)

Section cross-reference(s): 27, 76

IT 884510-64-9P 884510-65-0P 884510-66-1P

(carbazole derivative, and light emitting element and light emitting device using carbazole derivative)

IT 19606-98-5P 36809-26-4P, 4-Bromotriphenylamine 57103-21-6P, 3,6-Diiodo-9-phenylcarbazole 502161-03-7P 880800-17-9P 884510-67-2P

(carbazole derivative, and light emitting element and light emitting device using carbazole derivative)

OS.CITING REF COUNT: 4 THERE ARE 4 CAPLUS RECORDS THAT CITE THIS

RECORD (7 CITINGS)

REFERENCE COUNT: 25 THERE ARE 25 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L14 ANSWER 6 OF 6 HCAPLUS COPYRIGHT 2010 ACS on STN ACCESSION NUMBER: 2005:1042363 HCAPLUS Full-text

DOCUMENT NUMBER: 143:356288

TITLE: Phenyl carbazole derivatives and organic electroluminescent devices using the same

INVENTOR(S): Kim, Ji-Eun; Lee, Jae-Chol; Kim, Kong-Kyeom; Bae,

Jae-Soon; Jang, Jun-Gi; Jeon, Sang-Young; Kang, Min-Soo; Cho, Wook-Dong; Jeon, Byung-Sun; Kim,

Yeon-Hwan

PATENT ASSIGNEE(S): LG Chem, Ltd., S. Korea SOURCE: PCT Int. Appl., 126 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

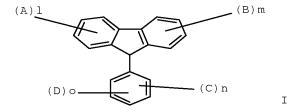
PATENT INFORMATION:

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										,	WO 2	005-	KR79	4		W 2	0050318	

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OTHER SOURCE(S): MARPAT 143:356288

ED Entered STN: 29 Sep 2005

GI



AΒ N-Ph carbazole derivs. are claimed which are described by the general formula I (A = -R1N(R2) -, or -R1N(R2) - Ar -; B = -R3N(R4) -, or -R3N(R4) - Ar -; C = -R3N(R4) -, or -R3N(R4) - Ar -; C = -R3N(R4) -, or -R3N(R4)R5N(R6)-, or -R5N(R6)-Ar-; D = H, -R7N(R8)-, or -R9N(R10)-Ar-; R1-10 = independently selected group each comprising only once or repeatedly ≥ 2 times, ≥1 of H, C1-20 aliphatic hydrocarbon, aromatic hydrocarbon unsubstituted or substituted with a nitro, nitrile, halogen, alkyl, alkoxy, or amino group, silicon group having an aromatic substituent; heterocyclic aromatic hydrocarbon unsubstituted or substituted with a nitro, nitrile, halogen, alkyl, alkoxy or amino group, thiophene group substituted with a C1-20 hydrocarbon or C6-24 aromatic hydrocarbon; and a boron group substituted with an aromatic hydrocarbon; Ar = an aromatic hydrocarbon unsubstituted or substituted with a nitro, nitrile, halogen, alkyl, alkoxy, or amino group; and $1 \ge 1$; $m \ge 1$; $n \ge 1$; and $o \ge 0$; with the restriction that the compound represented by formula I wherein R1-6 = H simultaneously and D also = H is excluded). Organic electroluminescent devices using the compds., especially in hole-injecting, hole-transporting, or light-emitting layers, are also described.

IT 865596-39-0 865596-40-3

(Ph carbazole derivs. and organic electroluminescent devices using them)

RN 865596-39-0 HCAPLUS

CN 9H-Carbazole-3,6-diamine, N3,N6,9-tris[4-(diphenylamino)phenyl]-N3,N6-diphenyl- (CA INDEX NAME)

RN 865596-40-3 HCAPLUS

CN 9H-Carbazole-3,6-diamine, N3,N6-bis[4-(diphenylamino)phenyl]-9-[4-(1-naphthalenylphenylamino)phenyl]-N3,N6-diphenyl- (CA INDEX NAME)

IPCI C09K0011-06 [ICM, 7]

IPCR C07D0209-00 [I,C*]; C07D0209-82 [I,A]; C07D0235-00 [I,C*]; C07D0235-04

[I,A]; C07D0417-00 [I,C*]; C07D0417-14 [I,A]; C09K0011-06 [I,C*]; C09K0011-06 [I,A]; H01J0001-00 [I,C*]; H01J0001-62 [I,A]; H01J0063-00 [I,C*]; H01J0063-04 [I,A]; H01L0051-00 [I,C*]; H01L0051-00 [I,A]; H01L0051-50 [N,C*]; H01L0051-50 [N,A]; H05B0033-14 [I,C*]; H05B0033-14 [I,A]

CC 73-11 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)

Section cross-reference(s): 27, 76

	Section cross	-reference(s):	27, 76		
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(Ph carbazole derivs. and organic electroluminescent devices using them)

- OS.CITING REF COUNT: 8 THERE ARE 8 CAPLUS RECORDS THAT CITE THIS RECORD (17 CITINGS)
- REFERENCE COUNT: 4 THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

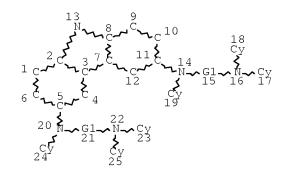
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L2

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L3

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VAR G1=AK/CY NODE ATTRIBUTES: DEFAULT MLEVEL IS ATOM DEFAULT ECLEVEL IS LIMITED

GRAPH ATTRIBUTES:

RSPEC I

NUMBER OF NODES IS 25

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L14 ANSWER 1 OF 6 HCAPLUS COPYRIGHT 2010 ACS on STN

ACCESSION NUMBER: 2008:1282001 HCAPLUS Full-text

DOCUMENT NUMBER: 149:494318

TITLE: Sulfonated polymeric compound, its intermediate,

and organic electroluminescent device containing

the compound

INVENTOR(S): Sekiguchi, Michiru; Togashi, Kazuhiko

PATENT ASSIGNEE(S): Mitsui Chemicals, Inc., Japan

SOURCE: PCT Int. Appl., 165pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PA'	PATENT NO.					D	DATE		APPLICATION NO.						DATE		
WO	2008	 1263	93		A1	_	2008	1023	1	WO 2	008-	 JP86	1		2	0080403	
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		EG,	ES,	FΙ,	GB,	GD,	GE,	GH,	GM,	GT,	HN,	HR,	HU,	ID,	IL,	IN,	
		IS,	JP,	KΕ,	KG,	KM,	KN,	ΚP,	KR,	KΖ,	LA,	LC,	LK,	LR,	LS,	LT,	
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ED Entered STN: 24 Oct 2008 GI

$$\begin{array}{c} \text{Ar1} \begin{bmatrix} \text{T1Ar2} \end{bmatrix}_{\text{NX1X2}} \\ \text{N-Y-N} \\ \text{Ar3} \begin{bmatrix} \text{T2Ar4} \end{bmatrix}_{\text{NX3X4}} \\ \end{array}$$

AB A sulfonated polymeric compound, and its intermediate, which sulfonated polymeric compound is characterized by having the structure resulting from introduction of a sulfo group in a polymeric compound having, in its polymer chain, ≥1 of the repeating units (I) (wherein each of Z1 to Z4 is a substituent; each of p1 and p2 is an integer of 0 to 5; each of p3 and p4 is an integer of 0 to 4; each of X1 to X4 is a monovalent aromatic group, provided that X1 and X2, and X3 and X4, may be bonded with each other to thereby form a ring; Y is a bivalent aromatic group; each of Ar1 to Ar4

independently is a bivalent aromatic group, provided that the bivalent aromatic group may be an aromatic group resulting from bonding of aromatic groups to each other leading to cyclization; each of T1 and T2 independently is a single bond or a group selected from the group consisting of -(CH2)t-, -CH=CH-, $-C\equiv C-$, -O-, -S-, -CQ1Q2-, -CO-, -SO-, -SO2- and -SiE2-; t is an integer of 1 to 20; each of Q1 and Q2 is an alkyl or an aromatic group, provided that these may be bonded with each other to thereby form a ring; E is a hydrogen atom, an alkyl or an aromatic group; and each of m and n is an integer of 0 to 2).

ΙT 1072155-70-4DP, sulfonated compound

> (manufacture of solvent-soluble sulfonated polymeric compds. and their intermediates useful for organic electroluminescent devices)

1072155-70-4 HCAPLUS RN

Poly[9-(9,9-dimethyl-9H-fluoren-2-yl)-9H-carbazole-3,6-diyl][4-CN (diphenylamino)phenyl]imino]-1,4-phenylene(3,4-diphenyl-2,5thiophenediyl)-1,4-phenylene[[4-(diphenylamino)phenyl]imino]] INDEX NAME)

(manuf. of solvent-sol. sulfonated polymeric compds. and their intermediates useful for org. electroluminescent devices IPCI C08G0073-02 [I,A]; C08G0073-00 [I,C*]; C09K0011-06 [I,A]; H01L0051-50 [I,A]IPCR C08G0073-00 [I,C]; C08G0073-02 [I,A]; C09K0011-06 [I,C]; C09K0011-06 [I,A]; H01L0051-50 [I,C]; H01L0051-50 [I,A] 37-3 (Plastics Manufacture and Processing) Section cross-reference(s): 76

1072154-77-8DP, sulfonated compound ΤТ

1072155-81-7DP, sulfonated compound

1072154-78-9DP, sulfonated compound 1072154-79-0DP, sulfonated compound 1072154-80-3DP, sulfonated compound 1072154-92-7P 1072154-95-0DP, sulfonated compound 1072154-97-2DP, sulfonated compound 1072154-98-3DP, sulfonated compound 1072155-03-3DP, sulfonated compound 1072155-04-4DP, sulfonated compound 1072155-12-4DP, sulfonated compound 1072155-13-5DP, sulfonated compound 1072155-21-5DP, sulfonated compound 1072155-22-6DP, sulfonated compound 1072155-24-8DP, sulfonated compound 1072155-25-9DP, sulfonated compound 1072155-27-1DP, sulfonated compound 1072155-28-2DP, sulfonated compound 1072155-33-9DP, sulfonated compound 1072155-34-0DP, sulfonated compound 1072155-51-1DP, sulfonated compound 1072155-52-2DP, sulfonated compound 1072155-61-3DP, sulfonated compound 1072155-60-2DP, sulfonated compound 1072155-69-1DP, sulfonated compound 1072155-70-4DP, sulfonated compound 1072155-72-6DP, sulfonated compound 1072155-73-7DP, sulfonated compound 1072155-75-9DP, sulfonated compound 1072155-77-1DP, sulfonated compound 1072155-79-3DP, sulfonated compound 1072155-87-3DP, sulfonated compound

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1072155-88-4DP, sulfonated compound 1072156-26-3DP, sulfonated compound
    1072156-27-4DP, sulfonated compound 1072156-70-7DP, sulfonated compound
    1072156-71-8DP, sulfonated compound 1072156-73-0DP, sulfonated compound
    1072156-74-1DP, sulfonated compound
        (manufacture of solvent-soluble sulfonated polymeric compds. and their
       intermediates useful for organic electroluminescent devices)
ΙT
    1072154-77-8P 1072154-78-9P 1072154-79-0P 1072154-80-3P
    1072154-82-5P 1072154-83-6P 1072154-85-8P 1072154-86-9P
    1072154-88-1P 1072154-89-2P 1072154-91-6P 1072154-94-9DP,
    sulfonated compound 1072154-94-9P
                                       1072154-95-0P
                                                      1072154-97-2P
    1072154-98-3P 1072155-00-0P 1072155-01-1P 1072155-03-3P
                                   1072155-07-7P 1072155-09-9P
    1072155-04-4P
                   1072155-06-6P
                                  1072155-13-5P 1072155-15-7P
    1072155-10-2P
                   1072155-12-4P
    1072155-16-8P 1072155-18-0P 1072155-19-1P 1072155-21-5P
    1072155-22-6P 1072155-24-8P 1072155-25-9P 1072155-27-1P
    1072155-28-2P 1072155-30-6P 1072155-31-7P 1072155-33-9P
    1072155-34-0P 1072155-36-2P 1072155-37-3P 1072155-39-5P
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    1072155-40-8P
                   1072155-42-0P
                                  1072155-49-7P 1072155-51-1P
    1072155-46-4P
                   1072155-48-6P
                                  1072155-55-5P 1072155-57-7P
    1072155-52-2P
                   1072155-54-4P
    1072155-58-8P 1072155-60-2P
                                 1072155-61-3P 1072155-63-5P
    1072155-64-6P 1072155-66-8P 1072155-67-9P 1072155-69-1P
    1072155-70-40 1072155-72-6P 1072155-73-7P 1072155-75-9P
    1072155-77-1P 1072155-79-3P 1072155-81-7P 1072155-84-0P
    1072155-85-1P 1072155-87-3P 1072155-88-4P 1072155-90-8P
    1072155-91-9P 1072155-94-2P
                                   1072155-96-4P 1072155-98-6P
                   1072156-01-4P
                                  1072156-02-5P 1072156-04-7P
    1072155-99-7P
    1072156-05-8P
                   1072156-07-0P
                                  1072156-08-1P 1072156-10-5P
    1072156-12-7P
                   1072156-14-9P 1072156-15-0P 1072156-17-2P
    1072156-18-3P
                   1072156-20-7P
                                  1072156-21-8P 1072156-23-0P
    1072156-24-1P
                   1072156-26-3P
                                  1072156-27-4P 1072156-29-6P
                                  1072156-33-2P 1072156-35-4P
    1072156-30-9P
                   1072156-32-1P
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    1072156-36-5P
                   1072156-38-7P
    1072156-42-3P 1072156-44-5P
                                  1072156-45-6P 1072156-47-8P
    1072156-48-9P 1072156-50-3P 1072156-51-4P 1072156-53-6P
    1072156-54-7P 1072156-56-9P 1072156-57-0P 1072156-59-2P
    1072156-60-5P 1072156-63-8P 1072156-65-0P 1072156-67-2P
    1072156-68-3P 1072156-70-7P 1072156-71-8P 1072156-73-0P
    1072156-74-1P
                                  1072156-77-4P 1072156-79-6P
                   1072156-76-3P
    1072156-80-9P
        (manufacture of solvent-soluble sulfonated polymeric compds. and their
       intermediates useful for organic electroluminescent devices)
REFERENCE COUNT:
                       3
                             THERE ARE 3 CITED REFERENCES AVAILABLE FOR
                             THIS RECORD. ALL CITATIONS AVAILABLE IN THE
                             RE FORMAT
L14 ANSWER 2 OF 6 HCAPLUS COPYRIGHT 2010 ACS on STN
ACCESSION NUMBER:
                     2007:1237378 HCAPLUS Full-text
DOCUMENT NUMBER:
                       147:494224
TITLE:
                       Carbazole derivatives, their uses, and organic
                      electroluminescent devices using them
INVENTOR(S):
                       Nakayama, Masami; Kato, Hideyuki
PATENT ASSIGNEE(S):
                      Bando Chemical Industries, Ltd., Japan
SOURCE:
                       Jpn. Kokai Tokkyo Koho, 16pp.
                       CODEN: JKXXAF
DOCUMENT TYPE:
                       Patent
                       Japanese
LANGUAGE:
FAMILY ACC. NUM. COUNT: 1
```

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
JP 2007284411	A	20071101	JP 2006-116940	20060420
PRIORITY APPLN. INFO.:			JP 2006-116940	20060420

OTHER SOURCE(S): MARPAT 147:494224

ED Entered STN: 01 Nov 2007

GΙ

Title derivs. I [A = H, halo, C1-20 alkyl, C1-20 alkoxy, (un)substituted aryl, (un)substituted heterocyclyl; R1-R6 = H, C1-20 alkyl, C1-20 alkoxy, di(C1-20 alkyl)amino, (un)substituted aryl, (un)substituted heterocyclyl] are used as hole injecting agents and/or hole transport agents. Also claimed are organic electroluminescent devices having a hole injection layer and/or hole transport layer containing above agents.

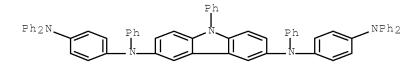
IT 884510-65-0P 953812-97-0P

(preparation of bis[phenyl(diphenylaminophenyl)amino]carbazoles and organic electroluminescent devices having hole injection layer and/or hole transport layer containing them)

Ι

RN 884510-65-0 HCAPLUS

CN 9H-Carbazole-3,6-diamine, N3,N6-bis[4-(diphenylamino)phenyl]-N3,N6,9-triphenyl- (CA INDEX NAME)



RN 953812-97-0 HCAPLUS

CN 9H-Carbazole-3,6-diamine, N3,N6-bis[4-(diphenylamino)phenyl]-9-ethyl-N3,N6-diphenyl- (CA INDEX NAME)

IPCI C07D0209-88 [I,A]; C07D0209-00 [I,C*]; H01L0051-50 [I,A]; C09K0011-06
[I,A]

IPCR C07D0209-00 [I,C]; C07D0209-88 [I,A]; C09K0011-06 [I,C]; C09K0011-06
[I,A]; H01L0051-50 [I,C]; H01L0051-50 [I,A]

CC $\,$ 74-13 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

Section cross-reference(s): 27

IT 884510-65-0P 953812-97-0P

(preparation of bis[phenyl(diphenylaminophenyl)amino]carbazoles and organic electroluminescent devices having hole injection layer and/or hole transport layer containing them)

L14 ANSWER 3 OF 6 HCAPLUS COPYRIGHT 2010 ACS on STN ACCESSION NUMBER: 2007:175254 HCAPLUS Full-text

DOCUMENT NUMBER: 146:238974

TITLE: Arylamine compounds which have resistance to repeated oxidation reactions, and light-emitting elements and electronic devices employing the

arylamine compounds

INVENTOR(S): Nakashima, Harue; Kawakami, Sachiko

PATENT ASSIGNEE(S): Semiconductor Energy Laboratory Co., Japan

SOURCE: U.S. Pat. Appl. Publ., 48pp.

CODEN: USXXCO

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

P	PATENT NO.						DATE		APPLICATION NO.							
		20070037011						US 2006-500278 WO 2006-JP315351						20060808		
W	0 2007				A1			•							_	0060727
	W:	ΑE,	AG,	AL,	AM,	AT,	ΑU,	AZ,	BA,	BB,	BG,	BR,	BW,	BY,	BZ,	CA,
		CH,	CN,	CO,	CR,	CU,	CZ,	DE,	DK,	DM,	DZ,	EC,	EE,	EG,	ES,	FI,
		GB,	GD,	GE,	GH,	GM,	HN,	HR,	HU,	ID,	IL,	IN,	IS,	ΚE,	KG,	KM,
		KN,	KP,	KR,	KΖ,	LA,	LC,	LK,	LR,	LS,	LT,	LU,	LV,	LY,	MA,	MD,
		MG,	MK,	MN,	MW,	MX,	MZ,	NA,	NG,	ΝI,	NO,	NΖ,	OM,	PG,	PH,	PL,
		PT,	RO,	RS,	RU,	SC,	SD,	SE,	SG,	SK,	SL,	SM,	SY,	ΤJ,	TM,	TN,
		TR,	TT,	TZ,	UA,	UG,	US,	UZ,	VC,	VN,	ZA,	ZM,	ZW			
	RW:	AT,	BE,	BG,	CH,	CY,	CZ,	DE,	DK,	EE,	ES,	FΙ,	FR,	GB,	GR,	HU,
		ΙE,	IS,	IT,	LT,	LU,	LV,	MC,	NL,	PL,	PT,	RO,	SE,	SI,	SK,	TR,
		BF,	ВJ,	CF,	CG,	CI,	CM,	GA,	GN,	GQ,	GW,	ML,	MR,	NE,	SN,	TD,
		TG,	BW,	GH,	GM,	KE,	LS,	MW,	MZ,	NA,	SD,	SL,	SZ,	TZ,	UG,	ZM,
		ZW,	AM,	AZ,	BY,	KG,	KZ,	MD,	RU,	ΤJ,	TM					
J:	P 2007	0703	52		A		2007	0322	JP 2006-217779						2	0060810
C	CN 101243038						2008	0813		CN 2006-80029357					2	0080213
	KR 2008034191						2008	0418		KR 2	-8008	7053	76		2	0080304
	RIORITY APPLN. INFO.:														0050812	
										WO 2	2006-	JP31	5351	,	W 2	0060727

OTHER SOURCE(S): MARPAT 146:238974

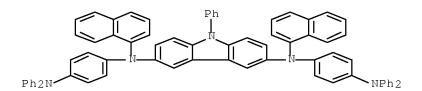
Entered STN: 16 Feb 2007

AΒ Secondary arylamine compds. having resistance to repeated oxidation reactions are described by the General Formula NH(Ar1)XN(Ar2)Ar3, wherein Ar1 is one of an aryl group having 7 to 25 C atoms and a heteroaryl group having 7 to 25 C atoms, where each of Ar2 and Ar3 is one of an aryl group having 6 to 25 C atoms and a heteroaryl group having 5 to 9 C atoms, and where X is one of a bivalent aromatic hydrocarbon group having 6 to 25 C atoms and a bivalent heterocyclic group having 5 to 10 C atoms. Light-emitting elements and electronic devices employing the arylamine compds. are also discussed. ΙT 884510-67-2P

(arylamine compds. which have resistance to repeated oxidation reactions, and light-emitting elements and electronic devices employing arylamine compds.)

884510-67-2 HCAPLUS RN

CN 9H-Carbazole-3,6-diamine, N3,N6-bis[4-(diphenylamino)phenyl]-N3,N6-di-1-naphthalenyl-9-phenyl- (CA INDEX NAME)



INCL 428690000; 428917000; 313504000; 257-E51.049; 257-E51.051; 548440000; 548442000; 564429000; 564434000

IPCI H01L0051-54 [I,A]; H01L0051-50 [I,C*]; H05B0033-14 [I,A]; C07C0211-00 [I,A]; C07D0209-88 [I,A]; C07D0209-00 [I,C*]

IPCR H01L0051-50 [I,C]; H01L0051-54 [I,A]; C07C0211-00 [I,C]; C07C0211-00 [I,A]; C07D0209-00 [I,C]; C07D0209-88 [I,A]; H05B0033-14 [I,C]; H05B0033-14 [I,A]

NCL 428/690.000; 257/E51.049; 257/E51.051; 313/504.000; 428/917.000; 548/440.000; 548/442.000; 564/429.000; 564/434.000

CC 73-11 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)

Section cross-reference(s): 25, 74, 76

ΙT 884510-66-1P 884510-67-29

> (arylamine compds. which have resistance to repeated oxidation reactions, and light-emitting elements and electronic devices employing arylamine compds.)

OS.CITING REF COUNT: THERE ARE 1 CAPLUS RECORDS THAT CITE THIS 1 RECORD (1 CITINGS)

L14 ANSWER 4 OF 6 HCAPLUS COPYRIGHT 2010 ACS on STN ACCESSION NUMBER: 2006:542713 HCAPLUS Full-text

DOCUMENT NUMBER: 145:17408

TITLE: Light emitting element that includes a mixed

carbazole derivative-transition metal oxide hole

transport layer

INVENTOR(S): Nakashima, Harue; Kawakami, Sachiko; Kumaki,

Daisuke; Seo, Satoshi; Ikeda, Hisao; Sakata,

Junichiro; Iwaki, Yuji

PATENT ASSIGNEE(S): Semiconductor Energy Laboratory Co., Ltd., Japan

SOURCE: PCT Int. Appl., 145 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

P	PATENT NO.					D	DATE		APPLICATION NO.								
M.	WO 2006059745						WO 2005-JP22240										
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		GB,	GD,	GE,	GH,	GM,	HR,	HU,	ID,	IL,	IN,	IS,	JP,	ΚE,	KG,	KM,	
		KN,	KP,	KR,	KΖ,	LC,	LK,	LR,	LS,	LT,	LU,	LV,	LY,	MA,	MD,	MG,	
		MK,	MN,	MW,	MX,	MΖ,	NA,	NG,	ΝI,	NO,	NZ,	OM,	PG,	PH,	PL,	PT,	
		RO,	RU,	SC,	SD,	SE,	SG,	SK,	SL,	SM,	SY,	ТJ,	TM,	TN,	TR,	TT,	
		TZ,	UA,	UG,	US,	UΖ,	VC,	VN,	YU,	ZA,	ZM,	ZW					
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		IE,	IS,	ΙΤ,	LT,	LU,	LV,	MC,	NL,	PL,	PT,	RO,	SE,	SI,	SK,	TR,	
		BF,	ВJ,	CF,	CG,	CI,	CM,	GΑ,	GN,	GQ,	GW,	ML,	MR,	ΝE,	SN,	TD,	
		ΤG,	BW,	GH,	GM,	KE,	LS,	MW,	MZ,	NΑ,	SD,	SL,	SZ,	TZ,	UG,	ZM,	
		ZW,	ΑM,	ΑZ,	BY,	KG,	KZ,	MD,	RU,	ТJ,	TM						
•	1010		•					1031	CN 2005-80040713						2	0051128	
					C 20091021												
	P 2006						2006		JP 2005-345745							0051130	
	S 2009								US 2006-584308						20060623		
	R 2007				А		2007	0905	KR 2007-714544						0070626		
PRIORI'	RIORITY APPLN. INFO.:								1	JP 2	2004-	3475	18		A 2	0041130	
									1	JP 2	2005-	8456	6		A 2	0050323	
									,	WO 2	2005-	JP22	240	,	W 2	0051128	

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT

OTHER SOURCE(S): MARPAT 145:17408

ED Entered STN: 09 Jun 2006

GI

AΒ One object of the present invention is to provide a light emitting element that includes an organic compound and an inorg, compound and has low driving voltage. The light emitting element of the invention includes a plurality of layers between a pair of electrodes, wherein the plurality of layers includes a layer that contains a carbazole derivative represented by a general formula (I; R1 = e.g., H, alkyl, aryl; R2 = H, alkyl, NAr4YNAr5Ar6; Ar1-Ar6 = aryl, heteroaryl; X, Y = bivalent aromatic hydrocarbon or bivalent heterocycle) and an inorg. compound exhibiting an electron accepting property with respect to the carbazole derivative By utilizing this structure, electrons are transported between the carbazole derivative and the inorg. compound and carriers are internally generated, and hence, the driving voltage of the light emitting element can be reduced. Thus, e.g., coupling of 3,6-diiodo-9phenylcarbazole (preparation given) with PhNHC6H4-p-NPh2 (preparation given) afforded target carbazole II (75% yield). A 50 nm film containing II and molybdenum oxide (1:1.5 molar ratio) exhibited a charge-transfer absorption band (absent in either component of the film taken individually) representing hole generation in II and electron acceptance by molybdenum oxide; consequently, the driving voltage of a light-emitting element can be reduced because of this internal carrier generation.

IT 884510-65-0P 884510-67-2P

(light emitting element that includes a mixed carbazole derivative-transition metal oxide hole transport layer)

RN 884510-65-0 HCAPLUS

CN 9H-Carbazole-3,6-diamine, N3,N6-bis[4-(diphenylamino)phenyl]-N3,N6,9-triphenyl- (CA INDEX NAME)

RN 884510-67-2 HCAPLUS

CN 9H-Carbazole-3,6-diamine, N3,N6-bis[4-(diphenylamino)phenyl]-N3,N6-di-1-naphthalenyl-9-phenyl- (CA INDEX NAME)

IPCI H01L0051-50 [I,A]; C09K0011-06 [I,A]

IPCR H01L0051-50 [I,A]; C09K0011-06 [I,C]; C09K0011-06 [I,A]; H01L0051-50
[I,C]

CC 73-5 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)

IT 884510-64-9P 884510-65-0P 884510-66-1P 884510-67-2P

(light emitting element that includes a mixed carbazole derivative-transition metal oxide hole transport layer)

OS.CITING REF COUNT: 1 THERE ARE 1 CAPLUS RECORDS THAT CITE THIS

RECORD (2 CITINGS)

REFERENCE COUNT: 11 THERE ARE 11 CITED REFERENCES AVAILABLE FOR

THIS RECORD. ALL CITATIONS AVAILABLE IN THE

RE FORMAT

L14 ANSWER 5 OF 6 HCAPLUS COPYRIGHT 2010 ACS on STN

ACCESSION NUMBER: 2006:380901 HCAPLUS Full-text
DOCUMENT NUMBER: 144:422228
TITLE: Carbazole derivative, and light emitting element

and light emitting device using the carbazole

derivative

Nakashima, Harue; Kawakami, Sachiko; Kumaki, INVENTOR(S):

Daisuke

PATENT ASSIGNEE(S): Semiconductor Energy Laboratory Co., Ltd., Japan

PCT Int. Appl., 142 pp. SOURCE:

CODEN: PIXXD2

DOCUMENT TYPE: Patent English LANGUAGE:

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PA	PATENT NO.					D	DATE		APPLICATION NO.						DATE	
WO	2006	0436	47		A1		2006	0427		WO	2005-	 JP19	349		2	0051014
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		GB,	GD,	GE,	GH,	GM,	HR,	HU,	ID,	IL	, IN,	IS,	JP,	ΚE,	KG,	KM,
		KP,	KR,	KΖ,	LC,	LK,	LR,	LS,	LT,	LU	, LV,	LY,	MA,	MD,	MG,	MK,
		MN,	MW,	MX,	MZ,	NΑ,	NG,	NΙ,	NO,	NZ	, OM,	PG,	PH,	PL,	PT,	RO,
		RU,	SC,	SD,	SE,	SG,	SK,	SL,	SM,	SY	, TJ,	TM,	TN,	TR,	TT,	TZ,
		UA,	UG,	US,	UΖ,	VC,	VN,	YU,	ZA,	ZM	, ZW					
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		ΙE,	IS,	ΙT,	LT,	LU,	LV,	MC,	NL,	PL	, PT,	RO,	SE,	SI,	SK,	TR,
		BF,	ВJ,	CF,	CG,	CI,	CM,	GΑ,	GN,	GQ	, GW,	ML,	MR,	ΝE,	SN,	TD,
		TG,	BW,	GH,	GM,	ΚE,	LS,	MW,	MZ,	NA	, SD,	SL,	SZ,	TZ,	UG,	ZM,
		ZW,	AM,	ΑZ,	BY,	KG,	KΖ,	MD,	RU,	ΤJ	, TM					
EP	1805	140			A1		2007	0711		ΕP	2005-	7957	74		2	0051014
	R:	DE,	FΙ,	FR,	GB,	NL										
CN	1010	3990	9		Α		2007	0919		CN	2005-	8003	5385		2	0051014
JP	2006	2988	95		A 20061102			1102	JP 2005-303732						2	0051018
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										JP	2004-	3333	44		A 2	0041117
										JP	2005-	8453	3	,	A 2	0050323
										WO	2005-	JP19	349		W 2	0051014

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT

OTHER SOURCE(S): MARPAT 144:422228

ED Entered STN: 27 Apr 2006

GΙ

$$\begin{array}{c|c}
R^1 \\
N \\
N \\
Ar^2
\end{array}$$

$$\begin{array}{c}
Ar^2 \\
Ar^3
\end{array}$$

- The title carbazole derivs. are described by the general formula I (R1 = H, C1-6 alkyl, C6-25 aryl, C5-9 heteroaryl, arylalkyl, or C1-7 acyl; R2 = H, C1-6 alkyl, or -N(Ar4)-Y-N(Ar5)Ar6; Ar1-6 = independently selected C6-25 aryl and/or C5-9 heteroaryl; and X and Y = independently selected C6-25 bivalent aromatic hydrocarbon and/or C5-10 bivalent heterocyclic group). Lightemitting elements incorporating the derivs., devices (e.g., displays) incorporating the elements, and electronic apparatus employing the elements, are also described.
- (carbazole derivative, and light emitting element and light emitting device using carbazole derivative)
- RN 884510-65-0 HCAPLUS
 CN 9H-Carbazole-3,6-diamine, N3,N6-bis[4-(diphenylamino)phenyl]-N3,N6,9-triphenyl- (CA INDEX NAME)

IT 884510-67-2P

884510-65-0P

ΙT

(carbazole derivative, and light emitting element and light emitting device using carbazole derivative)

- RN 884510-67-2 HCAPLUS
- CN 9H-Carbazole-3,6-diamine, N3,N6-bis[4-(diphenylamino)phenyl]-N3,N6-di-1-naphthalenyl-9-phenyl- (CA INDEX NAME)

- IPCI C07D0209-88 [I,A]; C07D0209-00 [I,C*]; C09K0011-06 [I,A]; H01L0051-50
 [I,A]
- IPCR C07D0209-00 [I,C]; C07D0209-88 [I,A]; C09K0011-06 [I,C]; C09K0011-06
 [I,A]; H01L0051-50 [I,C]; H01L0051-50 [I,A]
- CC 73-11 (Optical, Electron, and Mass Spectroscopy and Other Related
 Properties)
 Section cross-reference(s): 27, 76

IT 884510-64-9P 884510-65-0P 884510-66-1P

(carbazole derivative, and light emitting element and light emitting device using carbazole derivative)

IT 19606-98-5P 36809-26-4P, 4-Bromotriphenylamine 57103-21-6P,
3,6-Diiodo-9-phenylcarbazole 502161-03-7P 880800-17-9P
884510-67-2P

(carbazole derivative, and light emitting element and light emitting device using carbazole derivative)

OS.CITING REF COUNT: 4 THERE ARE 4 CAPLUS RECORDS THAT CITE THIS

RECORD (7 CITINGS)

REFERENCE COUNT: 25 THERE ARE 25 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE

INIS RECORD. ALL CITATIONS AVAILABLE

RE FORMAT

L14 ANSWER 6 OF 6 HCAPLUS COPYRIGHT 2010 ACS on STN ACCESSION NUMBER: 2005:1042363 HCAPLUS Full-text

DOCUMENT NUMBER: 143:356288

TITLE: Phenyl carbazole derivatives and organic electroluminescent devices using the same

INVENTOR(S): Kim, Ji-Eun; Lee, Jae-Chol; Kim, Kong-Kyeom; Bae, Jae-Soon; Jang, Jun-Gi; Jeon, Sang-Young; Kang,

Min-Soo; Cho, Wook-Dong; Jeon, Byung-Sun; Kim,

Yeon-Hwan

PATENT ASSIGNEE(S): LG Chem, Ltd., S. Korea SOURCE: PCT Int. Appl., 126 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

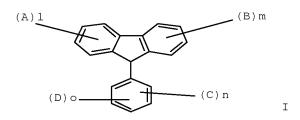
PATENT INFORMATION:

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			CH,	CN,	CO,	CR,	CU,	CZ,	DE,	DK,	DM,	DZ,	EC,	EE,	EG,	ES,	FI,	
			GB,	GD,	GE,	GH,	GM,	HR,	HU,	ID,	IL,	IN,	IS,	JP,	ΚE,	KG,	KP,	
			KΖ,	LC,	LK,	LR,	LS,	LT,	LU,	LV,	MA,	MD,	MG,	MK,	MN,	MW,	MX,	
			MZ,	NA,	NΙ,	NO,	NΖ,	OM,	PG,	PH,	PL,	PT,	RO,	RU,	SC,	SD,	SE,	
			SG,	SK,	SL,	SM,	SY,	ΤJ,	TM,	TN,	TR,	TT,	TZ,	UA,	UG,	UZ,	VC,	
			VN,	YU,	ZA,	ZM,	ZW											
		RW:	BW,	GH,	GM,	ΚE,	LS,	MW,	MZ,	NA,	SD,	SL,	SZ,	TZ,	UG,	ZM,	ZW,	
			AM,	ΑZ,	BY,	KG,	KΖ,	MD,	RU,	ΤJ,	TM,	ΑT,	BE,	BG,	CH,	CY,	CZ,	
			DE,	DK,	EE,	ES,	FI,	FR,	GB,	GR,	HU,	ΙE,	IS,	ΙΤ,	LT,	LU,	MC,	
			NL,	PL,	PT,	RO,	SE,	SI,	SK,	TR,	BF,	ВJ,	CF,	CG,	CI,	CM,	GA,	
			GN,	GQ,	GW,	ML,	MR,	NE,	SN,	TD,	ΤG							
	KR	2005	1180	98		A 20051215				KR 2004-116388						2	20041230	
	US	2005	0225.	235		A1 20051013				US 2005-83360						2	20050318	
	KR	2006	0444	24		A 20060516				KR 2005-22762						20050318		
	EP	1725	632			A1		2006	1129	EP 2005-733437						20050318		
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											KR 2	004-	1163	88	1	A 2	20041230	

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OTHER SOURCE(S): MARPAT 143:356288

ED Entered STN: 29 Sep 2005

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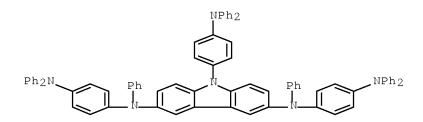
N-Ph carbazole derivs. are claimed which are described by the general formula AΒ I (A = -R1N(R2) -, or -R1N(R2) - Ar -; B = -R3N(R4) -, or -R3N(R4) - Ar -; C = -R3N(R4) - AR5N(R6)-, or -R5N(R6)-Ar-; D = H, -R7N(R8)-, or -R9N(R10)-Ar-; R1-10 = independently selected group each comprising only once or repeatedly ≥ 2 times, ≥1 of H, C1-20 aliphatic hydrocarbon, aromatic hydrocarbon unsubstituted or substituted with a nitro, nitrile, halogen, alkyl, alkoxy, or amino group, silicon group having an aromatic substituent; heterocyclic aromatic hydrocarbon unsubstituted or substituted with a nitro, nitrile, halogen, alkyl, alkoxy or amino group, thiophene group substituted with a C1-20 hydrocarbon or C6-24 aromatic hydrocarbon; and a boron group substituted with an aromatic hydrocarbon; Ar = an aromatic hydrocarbon unsubstituted or substituted with a nitro, nitrile, halogen, alkyl, alkoxy, or amino group; and $1 \ge 1$; m ≥ 1 ; n ≥ 1 ; and o ≥ 0 ; with the restriction that the compound represented by formula I wherein R1-6 = H simultaneously and D also = H is excluded). Organic electroluminescent devices using the compds., especially in hole-injecting, hole-transporting, or light-emitting layers, are also described.

IT 865596-39-0 865596-40-3

(Ph carbazole derivs. and organic electroluminescent devices using them)

RN 865596-39-0 HCAPLUS

CN 9H-Carbazole-3,6-diamine, N3,N6,9-tris[4-(diphenylamino)phenyl]-N3,N6-diphenyl- (CA INDEX NAME)



RN 865596-40-3 HCAPLUS

CN 9H-Carbazole-3,6-diamine, N3,N6-bis[4-(diphenylamino)phenyl]-9-[4-(1-

naphthalenylphenylamino)phenyl]-N3,N6-diphenyl- (CA INDEX NAME)

IPCI C09K0011-06 [ICM, 7] IPCR C07D0209-00 [I,C*]; C07D0209-82 [I,A]; C07D0235-00 [I,C*]; C07D0235-04 [I,A]; C07D0417-00 [I,C*]; C07D0417-14 [I,A]; C09K0011-06 [I,C*]; C09K0011-06 [I,A]; H01J0001-00 [I,C*]; H01J0001-62 [I,A]; H01J0063-00 [I,C*]; H01J0063-04 [I,A]; H01L0051-00 [I,C*]; H01L0051-00 [I,A]; H01L0051-50 [N,C*]; H01L0051-50 [N,A]; H05B0033-14 [I,C*]; H05B0033-14 [I,A]CC 73-11 (Optical, Electron, and Mass Spectroscopy and Other Related Properties) Section cross-reference(s): 27, 76 ΙT 865594-94-1 865594-95-2 865594-98-5 865595-01-3 865595-02-4 865595-03-5 865595-04-6 865595-05-7 865595-06-8 865595-07-9 865595-08-0 865595-09-1 865595-10-4 865595-11-5 865595-12-6 865595-13-7 865595-14-8 865595-15-9 865595-16-0 865595-18-2 865595-25-1 865595-19-3 865595-20-6 865595-21-7 865595-22-8 865595-26-2 865595-28-4 865595-31-9 865595-32-0 865595-27-3 865595-33-1 865595-34-2 865595-35-3 865595-36-4 865595-37-5 865595-38-6 865595-39-7 865595-40-0 865595-45-5 865595-46-6 865595-47-7 865595-52-4 865595-53-5 865595-50-2 865595-51-3 865595-54-6 865595-55-7 865595-56-8 865595-57-9 865595-58-0 865595-59-1 865595-60-4 865595-61-5 865595-62-6 865595-63-7 865595-64-8 865595-65-9 865595-66-0 865595-67-1 865595-68-2 865595-69-3 865595-70-6 865595-71-7 865595-72-8 865595-73-9 865595-74-0 865595-75-1 865595-76-2 865595-77-3 865595-78-4 865595-79-5 865595-80-8 865595-81-9 865595-82-0 865595-83-1 865595-84-2 865595-85-3 865595-86-4 865595-87-5 865595-88-6 865595-89-7 865595-90-0 865595-91-1 865595-92-2 865595-93-3 865595-94-4 865595-95-5 865595-96-6 865595-97-7 865595-98-8 865595-99-9 865596-00-5 865596-01-6 865596-02-7 865596-03-8 865596-04-9 865596-05-0 865596-06-1 865596-07-2 865596-08-3 865596-09-4 865596-10-7 865596-11-8 865596-12-9 865596-13-0 865596-14-1 865596-15-2 865596-16-3 865596-17-4 865596-18-5 865596-22-1 865596-23-2 865596-26-5 865596-27-6 865596-25-4 865596-28-7 865596-29-8 865596-30-1 865596-31-2 865596-32-3 865596-33-4 865596-34-5 865596-35-6 865596-36-7 865596-37-8 865596-38-9 865596-39-0 865596-40-3 865596-41-4 865596-42-5 865596-43-6 865596-44-7 865596-46-9 865596-50-5 865596-47-0 865596-48-1 865596-49-2 865596-51-6 865596-54-9 865596-52-7 865596-53-8 (Ph carbazole derivs. and organic electroluminescent devices using them)

RECORD (17 CITINGS)

REFERENCE COUNT:

THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

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							-17-9/BI OR 884510-64-9/BI OR
т Э				510-65-0,	BI OK 8	84510-66	5-1/BI OR 884510-67-2/BI)
L3		1	STR	CCC CAM	тЭ		
L4 L5				SSS SAM SSS FUL			
ГЭ		О		L5 CLAO			
L6		2		SPE=ON		PLU=ON	L2 AND L5
L7					ABB=ON	PLU=ON	L2 AND L3 L2 AND C28 H22 N2/MF
L8				SPE=ON	ABB=ON	PLU=ON	L2 AND C24 H20 N2/MF
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			L13)			
L17		12	SEA	SPE=ON	ABB=ON	PLU=ON	L15 AND L16
L18		8	SEA	SPE=ON	ABB=ON	PLU=ON	L17 NOT L14